



# MEMORANDUM – 2024-016

**TO** : ALL TRADING PARTICIPANTS

**SUBJECT** : EMPLOYEE MOVEMENT

**DATE** : 07 JUNE 2024

---

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 May 2024.

For information and guidance.

*(original signed)*

**GERARD B. SANVICTORES**

*President*

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Jun-24	DALAWANG BAYAN	CARLO R.	-	ACCOUNTING ASSISTANT	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
28-May-24	FERRER	MELANIE M.	-	ACCOUNTING OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
24-May-24	DOFELIZ	JOMARI L.	ON-THE-JOB TRAINING	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION
24-May-24	RAMIREZ	CHOLO MIGUEL C.	ON-THE-JOB TRAINING	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION
24-May-24	YUMUL-DIAZ	REGINA LEANNE B.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
24-May-24	VALMADRIS	CARL GERALD V.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
24-May-24	NG	GIAN MARCO D.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
24-May-24	MANINGAS	MARIA ISABEL G.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
24-May-24	ELICANAL	THERESE MAXENE S.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
24-May-24	WOO	ELYN D.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
24-May-24	YSIP	CAMILLE SELENE D.	-	RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
24-May-24	ABUHON	FLORIE MAY L.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
23-May-24	ABAYA	ALDRIN A.	CUSTOMER SUPPORT OFFICER	-	BDO SECURITIES CORPORATION	TRANSFER TO BDO NETWORK
22-May-24	SALINAS	JANNINE B.	ON-THE-JOB TRAINING	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION
20-May-24	UMALI	VIVIAN M.	-	CUSTOMER SERVICE REPRESENTATIVE/COLLECTION-IN-CHARGE	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
17-May-24	DELOS REYES	KATHY D.	ON-THE-JOB TRAINING	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION
17-May-24	ALCARAZ	ANNA LYNN C.	CUSTOMER SERVICE REPRESENTATIVE/COLLECTION-IN-CHARGE	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
16-May-24	FLORES	JESUS T.	-	COMPANY DRIVER/ MESSENGER	PREMIUM SECURITIES, INC.	NEW EMPLOYMENT
16-May-24	IGUBAN	CHRISTINE	ADMINISTRATIVE SERVICES SPECIALIST	-	BPI SECURITIES CORPORATION	RESIGNATION
15-May-24	ESPINEDA	IRWIN F.	-	FINANCE ASSISTANT - CONTRACTUAL	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-May-24	FRANCISCO	HARRIET L.	-	TREASURY ASSISTANT MANAGER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
15-May-24	FAJARDO	SAMANTHA ANGELA	HEAD OF ACCOUNTING	-	BPI SECURITIES CORPORATION	RESIGNATION
15-May-24	WILKERSON	ARLENE M.	VICE PRESIDENT - COMPLIANCE & RISK MANAGEMENT	-	UNICAPITAL SECURITIES, INC.	RESIGNATION (without clearance)
10-May-24	CARLOS	VERONICA RENEE G.	RESEARCH ASSOCIATE	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION
10-May-24	ROXAS	SIMPLICIO J.	CHAIRMAN/ PSE NOMINEE	-	S. J. ROXAS & COMPANY, INC.	RETIREMENT
10-May-24	ROXAS	ZENAIDA A.	TREASURER	CHAIRMAN	S. J. ROXAS & COMPANY, INC.	RE-DESIGNATION
10-May-24	YAPTINCHAY	PATRICIA MARGARITA R.	PRESIDENT	PRESIDENT/ ACTING PSE NOMINEE	S. J. ROXAS & COMPANY, INC.	RE-DESIGNATION
10-May-24	ROXAS	VALENTINA ALEXANDRA A.	SALESMAN	TREASURER/ SALESMAN	S. J. ROXAS & COMPANY, INC.	RE-DESIGNATION
10-May-24	ALDABA	ARLENE D.	ASSIST. VICE PRESIDENT/ SALESMAN	VICE PRESIDENT/ SALESMAN	S. J. ROXAS & COMPANY, INC.	RE-DESIGNATION
10-May-24	DIZON	DOMINADOR L.	VICE PRESIDENT/ SALESMAN	SALESMAN	S. J. ROXAS & COMPANY, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
10-May-24	ROMERO	PATRICE JANE L.	-	ASSISTANT CORPORATE SECRETARY	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	NEW EMPLOYMENT
9-May-24	MANLANGIT	FELIX L.	-	INDEPENDENT DIRECTOR	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
9-May-24	MASACUPAN	ADELFA R.	-	DIRECTOR	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
9-May-24	TANTAN	JENNIFER A.	-	INDEPENDENT DIRECTOR	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
9-May-24	CLIMACO JR.	JULIO D.	DIRECTOR	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION (did not apply for clearance)
9-May-24	PANGILINAN	ELCID C.	DIRECTOR	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION (did not apply for clearance)
9-May-24	MONTELOYOLA	RAMON R.	DIRECTOR	-	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	RESIGNATION (did not apply for clearance)
7-May-24	HOLGANZA	WILLIAM JEFFERSON B.	RETAIL SALES OFFICER	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (clearance under process)
6-May-24	CHIU	JULIA ABIGAIL U.	-	INTERN	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
6-May-24	TIN	JARROD LEIGHTON	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
6-May-24	GITA	JOHANNA MARIE A.	-	ACCOUNTING ASSOCIATE	THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION	NEW EMPLOYMENT
6-May-24	CAI	LANCE RAFAEL	-	INTERN	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	NEW EMPLOYMENT
6-May-24	TURIRIT	KAREN JOY M.	-	HUMAN CAPITAL AND ADMIN OFFICER	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
4-May-24	WOODWARD	MYRA M.	CLIENT EXPERIENCE ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
3-May-24	EUDELA	ERIC JUDE B.	FINANCE ASSISTANT - CONTRACTUAL	-	CLSA PHILIPPINES, INC.	RESIGNATION (no clearance required)
3-May-24	PAMARES	IVY MAE H.	ACCOUNTING/ SETTLEMENT ASSISTANT	-	INVESTORS SECURITIES, INC.	RESIGNATION (cleared)
3-May-24	CARDAMA	JAN MARY T.	-	SETTLEMENT	INVESTORS SECURITIES, INC.	NEW EMPLOYMENT
3-May-24	JADLOCON	LUCITA G.	ASSOCIATED PERSON	-	PHILSTOCKS FINANCIAL, INC.	RESIGNATION
2-May-24	DE GUZMAN	HONEY GRACE A.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
2-May-24	GUDITO	DAPHNE Y.	-	RESEARCH ANALYST	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
2-May-24	TAN	MELISSA JANE	-	ASSISTANT MANAGER	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
2-May-24	ELEDIA	PATRICK THOMAS M.	SYSTEM ADMINISTRATION MANAGER	IT OPERATIONS MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
2-May-24	AMANTILLO JR.	EMMANUEL V.	ASSISTANT SOFTWARE QA SUPERVISOR	SOFTWARE QA SUPERVISOR	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
2-May-24	CAINDOC	ANTHONY V.	ASST. DEVELOPMENT MANAGER	DEVT OPERATIONS MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
1-May-24	ANG	ARVIN KENDRICK L.	CSR EQUITIES TRADER	HEAD OF SUPPORT SERVICES	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
1-May-24	LORENZO	SHEENA ANN K.	INVESTOR RELATIONS OFFICER	HEAD OF TRADING OPERATIONS	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
1-May-24	PEÑA	EIRA DOMINIQUE V.	CSR EQUITIES TRADER	INVESTOR RELATIONS OFFICER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
1-May-24	SANTIDAD	PATRICK JOHN P.	IT ASSISTANT	IT MANAGER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
1-May-24	SORIANO	JANINE ELIZABETH C.	JAM-IC, CASH MANAGEMENT UNIT	-	RCBC SECURITIES, INC.	RESIGNATION (clearance under process)
1-May-24	DE CELIS	JERVIN S.	SALESMAN/ EQUITY ANALYST	EQUITY TRADING AND RESEARCH MANAGER	TIMSON SECURITIES, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-May-24	PATAG	CARISSA J.	HEAD OF RETAIL SALES/ AUTHORIZED AGENT	AUTHORIZED AGENT	WEALTH SECURITIES, INC.	RE-DESIGNATION
1-May-24	SALES	LORENZO JOSE Y.	SALES & TRADING ASSOCIATE	HYBRID SALES TRADER	CLSA PHILIPPINES, INC.	RE-DESIGNATION
30-Apr-24	RIVERA	JESSICA G.	SETTLEMENT CLERK	-	INVESTORS SECURITIES, INC.	RESIGNATION (clearance under process)
30-Apr-24	LIM	JARROD	INTERN	-	DRAGONFI SECURITIES, INC. (formerly TRI-STATE SECURITIES, INC.)	RESIGNATION
30-Apr-24	BICAR	CARLA MAY S.	TREASURY ASSISTANT - CONTRACTUAL	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (cleared)
30-Apr-24	TANEDO	CORRINE HAZEL	VICE PRESIDENT-RESEACRH DEPARTMENT	VICE PRESIDENT-HEAD OF RESEARCH	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
30-Apr-24	PICARDO	JUDALE B.	CONTRACTUAL	CUSTOMER SERVICE ASSISTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
30-Apr-24	CASIÑO	LIEZEL S.	CONTRACTUAL	CUSTOMER SERVICE ASSISTANT	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
27-Apr-24	MANALILI	LARISSA V.	OPERATION ADMIN ASSISTANT - CONTRACTUAL	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	RESIGNATION (cleared)
26-Apr-24	DIMAYUGA	SABINA MARIE	-	MANAGEMENT TRAINEE (CLIENT ACQUISITION)	BPI SECURITIES CORPORATION	NEW EMPLOYMENT



DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
26-Apr-24	QUEVEDO	MARICRIS D.	-	FO-IC, CASH MANAGEMENT UNIT	RCBC SECURITIES, INC.	NEW EMPLOYMENT
26-Apr-24	CASTILLO	ARLENE E.	-	ASSOCIATE RELATIONSHIP MANAGER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
26-Apr-24	GONZALEZ	DIANNE E.	-	BUSINESS DEVELOPMENT MANAGER	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
22-Apr-24	ADAJAR	MELISSA L.	-	CORPORATE SECRETARY/LEGAL/ACCOUNTING OFFICER	LANDBANK SECURITIES, INC. (formerly UCPB SECURITIES, INC.)	NEW EMPLOYMENT
20-Apr-24	TAN	FLORENCE THERESE A.	SALES ASSOCIATE/SALESMAN	-	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	UPDATE: RESIGNATION (cleared)
19-Apr-24	DE LA CRUZ	CHRISTINA D.	CONSULTANT	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (no clearance required)
19-Apr-24	PEREZ	SHANEN AUDREY J.	INTERN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
19-Apr-24	ENOBAY	CRISBEL	INTERN	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (no clearance required)
18-Apr-24	SUNDIAM	SAMANTHA MARIE C.	ASSISTANT CORPORATE SECRETARY	-	MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
16-Apr-24	ALVAREZ	KATELYN CASSANDRA	-	CONTENT WRITER	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Apr-24	ALONSO	MICHEL XAVIER H.	-	RESEARCH ANALYST	MAYBANK SECURITIES INC. (formerly MAYBANK ATR KIM ENG SECURITIES, INC.)	NEW EMPLOYMENT
1-Apr-24	PASCUA	ADAN EVAN O.	-	ASSOCIATED PERSON	SB EQUITIES, INC.	NEW EMPLOYMENT
14-Feb-24	PINEDA	KAYE ANN GRACE D.	HR ASSISTANT	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)